

Reconceptualising stakeholder participation in Emergency Preparedness and Response

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### Introduction

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  - Participation throughout the decades
  - Participation & knowledge sharing
  - Participation in reality
  - Participation in EP&R
    - Guidelines and recommendations
    - Academic literature
      - Contradictions
      - Divergence
      - Motivation

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## Methodology

- Mixed method approach
  - 2014 (Stakeholder Participation (SP) & Knowledge Sharing (KS))
  - 2015 (Chronological systematic review SP)
  - 2016 (Realist review of SP & KS)
  - 2018 (SP in EP&R)
    - Conceptualisations and frameworks (models)
      - "Stakeholder"
      - "Stakeholder engagement"
    - Web of Science
      - (nuclear OR radiologic) AND (emergency OR accident) AND (stakeholder participation OR engagement OR involvement)
    - Document analysis (preliminary non-exhaustive)
      - IAEA, ICRP, IRPA, OECD-NEA (n=13)
      - Academic literature (WoS) (n=2674)
        - » Abstract extraction from WoS database
          - » Search 'stakehold\*' (n=35)

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### Participation throughout the decades

- Conceptualisation of 'participation' from the 1960's
  - Time influenced by powerlessness of the "have-nots"
  - Arnstein's "Ladder of participation" (1969)
- Participation in the 1970's
  - Political turmoil fe US: Anti Vietnam War movement
    - confrontation politics by those outside
- Participation in the 1980's 1990's
  - Link with development and sustainability
  - Institutionalisation of participation
- Participation in the 1990's
  - EPA citizen involvement into environmental protection programmes
  - Move towards social participation, citizen participation and participatory methods
- Beginning 20<sup>th</sup> century
  - Shift of focus from conceptualisations to methodologies

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### Results: Participation & knowledge sharing

- Divide between science and management
  - Science = "experts" & Management = "decision makers"
    - Need for bi-directional knowledge exchange and co-decision making
- "Stakeholder participation" is NOT merely a right, it is a reality
  - Passive participation
  - Active participation
- Stakeholder participation ≠ communication
- Stakeholder participation ≠ research methodology
- "Stakeholder participation" is NOT a tool for decision making
  - It is INTRINSIC

"Evolution towards co-management and co-decision making"

"Who are the stakeholders?"

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## Results: Participation & knowledge sharing

- Address the lack of consensus
  - No consensus on 'who' has a "stake"?
    - 'who' AND 'what'
    - Consensus versus dissensus
      - Pragmatism
- What is "Stakeholder participation"?
  - No "one-size-fits-all" solution
  - No "fit-for-purpose" solution
  - Stakeholder participation REQUIRES contextualization

### AB1

## Results: Participation in EP&R (International guidelines and recommendations)

- Contradictions in conceptualisations of "stakeholders" in International guidelines and recommendations
  - For example
    - International Commission on Radiological Protection (ICRP). 2016. ICRP Publication 126: Radiological Protection against Radon Exposure. Annals of the ICRP, 43 (3).
      - "[...] stakeholders [...] include individuals who have a personal, financial, health, or legal interest in policy or recommendations that directly affect their well-being or that of their environment."
    - International Atomic Energy Agency (IAEA). 2007. Terminology used in nuclear safety and radiation protection. IAEA Safety Glossary. (P. 190)
      - "The term stakeholder has disputed usages and is misleading and too allencompassing for clear use. In view of the potential for misunderstanding, <u>use of the term is discouraged</u> in favour of 'interested parties' or 'concerned parties', for example."

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# Results: Participation in EP&R (International guidelines and recommendations)

- Stakeholder participation
  - Divergence
    - "The active solicitation of the involvement of all stakeholders could be viewed as a means to promote the use of nuclear technology. That perception should be avoided; promotion should not be an objective of a comprehensive stakeholder involvement programme" (International Nuclear Safety Group (INSAG), 2006. Stakeholder involvement in Nuclear Issues. International Atomic Energy Agency, Vienna)
    - "In the legal context, 'stakeholder' and 'public concerned' do not mean the same thing [...] [some stakeholders] do not have the same rights as the public concerned under the Aarhus Convention." "Stakeholders" is not a surrogate term for the "general public." In any project there are numerous stakeholders with varied interests, including policymakers, applicants, shareholders, the general public and special interest groups. (Nuclear Energy Agency Organisation for Economic co-operation and development. 2017. NEA Workshop on Stakeholder Involvement in Nuclear Decision Making, Summary Report. OECD.)

### AB1 Include section or artikel...

Abelshausen Bieke; 11-06-18

# Results: Participation in EP&R (International guidelines and recommendations)

- Motivation for participation
  - Instrumental "Secure the end point"
    - To achieve project goals
    - To better understand complex issues
      - "More interactive participation gives interested parties <u>the possibility of a better understanding of complex issues</u>. It allows them to develop their understanding of the issue, to debate, to state their position and, in some instances, to collaborate with the regulatory body." (International Atomic Energy Agency. 2017. Communication and consultation with interested parties by the regulatory body. IAEA Safety Standards for protecting people and the environment.)

Results: Participation in EP&R (International guidelines and recommendations)

- Motivation for participation
  - Substantive "Achieve better decisions"
    - The Commission has also emphasized the *promotion of autonomy* through stakeholder involvement (e.g. ICRP, 2007a) and *empowerment* of individuals to *make informed decisions*, whether, for example, confronted with contaminated land (e.g. ICRP, 2009b), to security screening in airports (ICRP, 2014b) to radon in their homes (ICRP, 2014c) or to cosmic radiation in aviation (ICRP, 2016). The system of radiological protection thus actively respects dignity and promotion of the autonomy of people facing radioactivity in their daily lives. (International Commission on Radiological Protection. 2017. Ethical Foundations of the System of Radiological Protection (Draft). Annals of the ICRP.)

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## Results: Participation in EP&R (International guidelines and recommendations)

- Normative "The right thing to do"
  - Immanuel Kant (1724–1804)
    - "Kant argued that human beings possess a rational nature and have the capacity of self-regulation, which is called autonomy. Good will leads them to act according to their duty, or the moral law. Kant asserted that one should not treat human beings merely as means to an end, but rather as ends in themselves. This means that we should not sacrifice an individual to achieve "the greatest happiness of the greatest number". At the same time, it means that we should respect every individual's free choice." (International Commission on Radiological Protection. 2017. Ethical Foundations of the System of Radiological Protection (Draft). Annals of the ISPR)
  - ""stakeholder involvement" in the decision making process is based on <u>respecting each person's human dignity</u>" (International Commission on Radiological Protection. 2017. Ethical Foundations of the System of Radiological Protection (Draft). Annals of the ICRP.)

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# Results: Participation in EP&R (systematic literature review)

- Motivation for participation
  - Instrumental "Secure the end point"
    - Develop a framework for post-accident rehabilitation by involving national, regional and local stakeholders. The aim is to identify and involve relevant stakeholders in emergency preparedness to <u>improve the</u> <u>development and implementation of appropriate protection</u> <u>strategies</u> as part of the consequence management and the transition to

**l'eCOVETy.** (Gallego, E; Montero, M. 2016. Experience in Spain with local-national fora for better post-accident preparedness. RADIOPROTECTION Volume: 51 Issue: HS1 Special Issue: S1 Pages: S31-S34)

- Substantive "Achieve better decisions"
  - Stakeholders agreed on evolving a decision framing process within and between stakeholders that is inclusive and participatory, with open and two-way discussions, leading to relationships where issues can be identified, discussed and resolved, *resulting in sustainable decisions*. (Bohunova, J. Duranova, T. Jurka, P. Makovnik, M. 2016. Stakeholder engagement and involvement in nuclear emergency preparedness the Slovak Republic's experience in RODOS tool-driven workshops. RADIOPROTECTION Volume: 51 Issue: HST Special Issue: SI Pages: S39-S42)

## Results: Participation in EP&R (systematic literature review)

- Motivation for participation
  - Normative "The right thing to do"
    - Stakeholder engagement and acceptance has become the cornerstone of radiation regulations in recent decades, <u>as well it should</u>. (Jorgensen, TJ. 2016. THE NEW "NORMAL": STAKEHOLDERS AND RADIATION PROTECTION LIMITS IN A POST-9/11 WORLD. HEALTH PHYSICS Volume: 111 Issue: 2 Pages: 227-231)

ndations 1969 Ladder of citizen participation Sherry Arnstein 1992 Ladder of children participation Roger Hart 05 Th 1996 Typology of participation Sarah White 06 1997 07 Degrees of participation Phil Treseder 1998 Scott Davidson 08 Wheel of participation 2001 Active participation framework OECD 09 Harry Shier 10 2001 Pathways to participation Clare Lardner 11 2001 Clarity model of participation 2001 Strategic approach to participation UNICEF 12 2002 Jans & de Backer 13 Triangle of youth participation 2002 Youth participation in society Jans & de Backer 14 2002 David Driskell 15 Dimensions of youth participation ENG/ nancE 2003 Ladder of volunteer participation Adam Fletcher of ra rmed 2003 Youth engagement continuum FCYO 17 2006 Four Cs of online participation Derek Wenmoth 18 decis 2006 Power law of participation Ross Mayfield 19 2006 John Gaventa 20 Levels, spaces and forms of power 21 2006 Four L Engagement Model Tony Karrer 2007 Participation 2.0 Model New Zealand 22 2007 Spectrum of public participation 23 2007 24 25 Engagement in the policy cycle Diane Warburton 2007 Online Participation Behaviour Chain Fogg & Eckles 2009 Key dimensions of participation 26 Driskell & Neema 2009 Tim Davies 27 Matrix of participation 2009 Pathways through participation NCVO & IVR 28 2010 29 Pedro Martin Changing views on participation GE 2010 Ladder of online participation Bernoff & Li 30 the GovernancE 2010 Rick Wicklin 31 Online participation across age 2010 Three-lens approach to participation DFID-CSO 32 2010 Behavior Grid BJ Fogg 33

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